

# Automated Verification of Selected Equivalences for Security Protocols

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## Introduction

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Analysis of **cryptographic protocols**:

- Powerful **automatic tools** for proving properties on behaviors (traces) of protocols (secrecy of keys, correspondences).
- Many important properties can be formalized as **process equivalences**, not as properties on behaviors:
  - secrecy of a boolean  $x$  in  $P(x)$ :  $P(\text{true}) \approx P(\text{false})$
  - the process  $P$  implements an ideal specification  $Q$ :  $P \approx Q$

Equivalences are usually proved by difficult, long manual proofs. Already much research on this topic, using in particular sophisticated bisimulation techniques (e.g., Boreale et al).

## Equivalences as properties of behaviors (1)

Goal: extend tools designed for proving properties of **behaviors** (here ProVerif) to the proof of **process equivalences**.

- We focus on equivalences between processes that differ **only by the terms they contain**, e.g.,  $P(\text{true}) \approx P(\text{false})$ .

Many interesting equivalences fall into this category.

- We introduce **biprocesses** to represent pairs of processes that differ only by the terms they contain.

$P(\text{true})$  and  $P(\text{false})$  are variants of a biprocess  $P(\text{diff}[\text{true}, \text{false}])$ .

The variants give a different interpretation to  $\text{diff}[\text{true}, \text{false}]$ , **true** for the first variant, **false** for the second one.

## Equivalences as properties of behaviors (2)

- We introduce a new operational semantics for biprocesses:

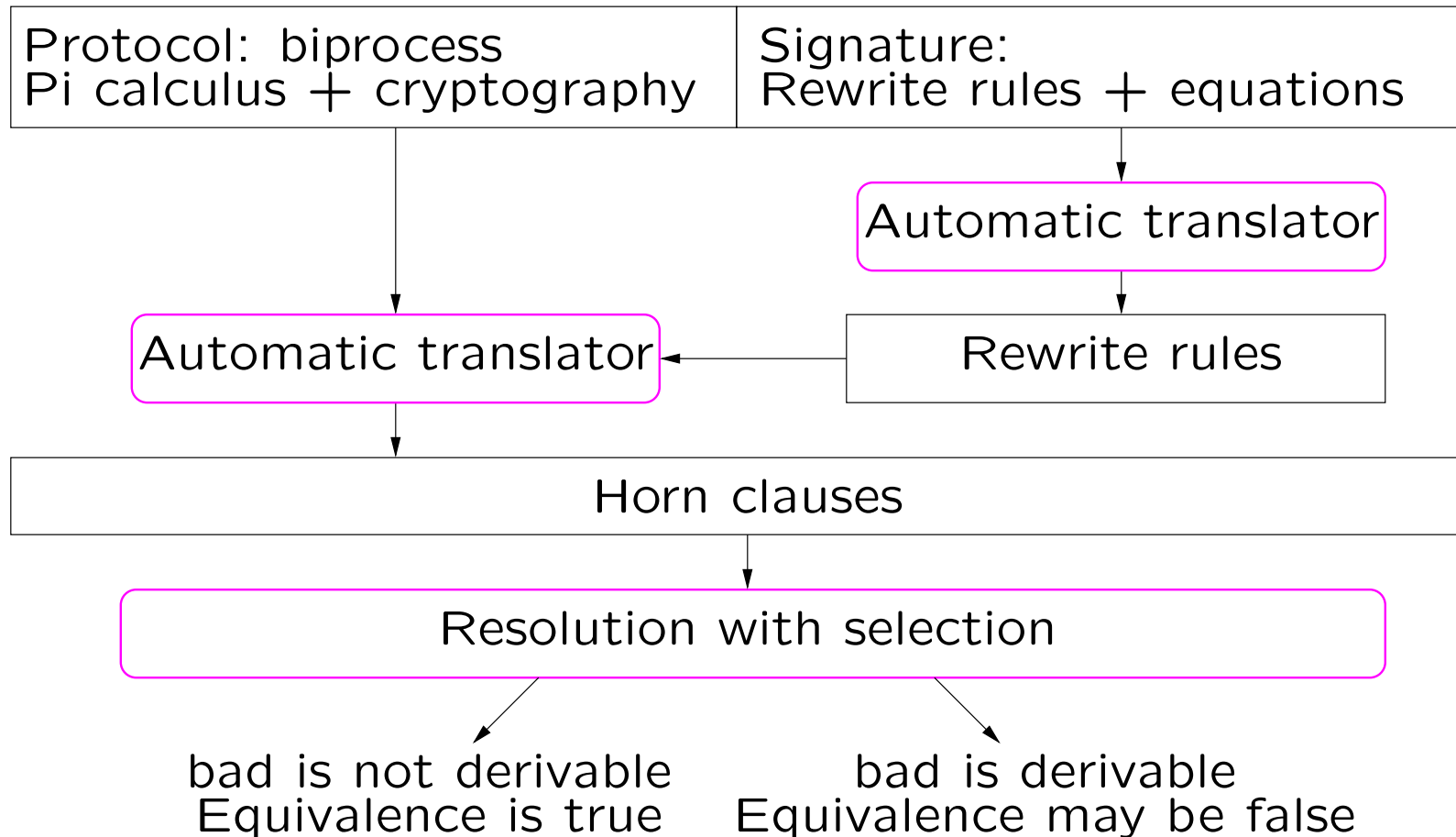
A biprocess reduces when both variants **reduce in the same way** and after reduction, they still differ only by terms (so can be written using `diff`).

- We establish  $P(\text{true}) \approx P(\text{false})$  by reasoning on **behaviors** of  $P(\text{diff}[\text{true}, \text{false}])$ :

If, for all reachable configurations, both variants reduce in the same way, then we have equivalence.

## Overview of the verification method

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## The process calculus

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Extension of the pi-calculus with function symbols for cryptographic primitives.

$M, N ::=$	terms
$x, y, z$	variable
$a, b, c, k, s$	name
$f(M_1, \dots, M_n)$	constructor application
$D ::=$	term evaluations
$M$	term
$\text{eval } h(D_1, \dots, D_n)$	function evaluation
$P, Q, R ::=$	processes
$M(x).P$	input
$\overline{M}\langle N \rangle.P$	output
$\text{let } x = D \text{ in } P \text{ else } Q$	term evaluation
$0 \quad P \mid Q \quad !P \quad (\nu a)P$	

## Representation of cryptographic primitives

Two possible representations:

- **When success/failure is visible:** destructors with rewrite rules  
constructor *sencrypt*

destructor  $sdecrypt(sencrypt(x, y), y) \rightarrow x$

The *else* clause of the term evaluation is executed when no rewrite rule of some destructor applies.

- **When success/failure is not visible:** equations

$sdecrypt(sencrypt(x, y), y) = x$

$sencrypt(sdecrypt(x, y), y) = x$

The treatment of equations is one the main contributions of this work.

## Semantics

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$D \Downarrow M$  when the term evaluation  $D$  evaluates to  $M$ .

Uses rewrite rules of destructors and equations.

$\equiv$  transforms processes so that reduction rules can be applied.

Main reduction rules:

$$\overline{N}\langle M \rangle.Q \mid N'(x).P \rightarrow Q \mid P\{M/x\} \quad \text{(Red I/O)} \\ \text{if } \Sigma \vdash N = N'$$

$$\text{let } x = D \text{ in } P \text{ else } Q \rightarrow P\{M/x\} \quad \text{(Red Fun 1)} \\ \text{if } D \Downarrow M$$

$$\text{let } x = D \text{ in } P \text{ else } Q \rightarrow Q \quad \text{(Red Fun 2)} \\ \text{if there is no } M \text{ such that } D \Downarrow M$$



## Observational equivalences and biprocesses

Two processes  $P$  and  $Q$  are **observationally equivalent** ( $P \approx Q$ ) when the adversary cannot distinguish them.

A **biprocess**  $P$  is a process with diff.

$\text{fst}(P)$  = the process obtained by replacing  $\text{diff}[M, M']$  with  $M$ .

$\text{snd}(P)$  = the process obtained by replacing  $\text{diff}[M, M']$  with  $M'$ .

$P$  satisfies observational equivalence when  $\text{fst}(P) \approx \text{snd}(P)$ .

## Semantics of biprocesses

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A biprocess reduces when **both variants** of the process **reduce in the same way**.

$$\overline{N}\langle M \rangle.Q \mid N'(x).P \rightarrow Q \mid P\{M/x\} \quad (\text{Red I/O})$$

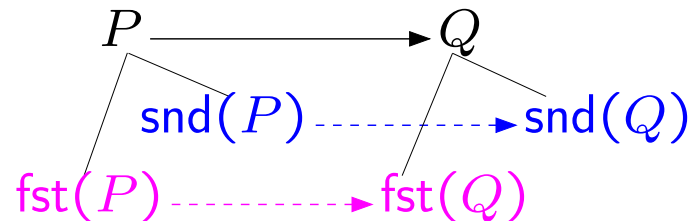
if  $\Sigma \vdash \text{fst}(N) = \text{fst}(N')$  and  $\Sigma \vdash \text{snd}(N) = \text{snd}(N')$

$$\text{let } x = D \text{ in } P \text{ else } Q \rightarrow P\{\text{diff}[M_1, M_2]/x\} \quad (\text{Red Fun 1})$$

if  $\text{fst}(D) \Downarrow M_1$  and  $\text{snd}(D) \Downarrow M_2$

$$\text{let } x = D \text{ in } P \text{ else } Q \rightarrow Q \quad (\text{Red Fun 2})$$

if there is no  $M_1$  such that  $\text{fst}(D) \Downarrow M_1$  and  
there is no  $M_2$  such that  $\text{snd}(D) \Downarrow M_2$



## Proof of observational equivalence using biprocesses

Let  $P_0$  be a closed biprocess.

If for all configurations  $P$  reachable from  $P_0$  (in the presence of an adversary), both variants of  $P$  reduce in the same way, then  $P_0$  satisfies observational equivalence.

## Formalizing the adversary

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Let  $P_0$  be a closed biprocess.

If for all configurations  $P$  reachable from  $P_0$  (in the presence of an adversary), both variants of  $P$  reduce in the same way, then  $P_0$  satisfies observational equivalence.

An adversary is represented by a **plain evaluation context** (evaluation context without diff), so:

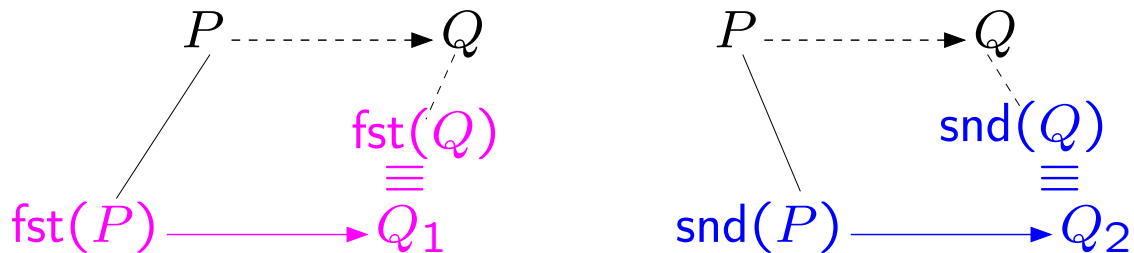
If, for all plain evaluation contexts  $C$  and reductions  $C[P_0] \rightarrow^* P$ , both variants of  $P$  reduce in the same way, then  $P_0$  satisfies observational equivalence.

## Formalizing “reduce in the same way”

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The biprocess  $P$  is **uniform** when

$\text{fst}(P) \rightarrow Q_1$  implies  $P \rightarrow Q$  for some biprocess  $Q$  with  $\text{fst}(Q) \equiv Q_1$ ,  
and symmetrically for  $\text{snd}(P) \rightarrow Q_2$ .



If, for all plain evaluation contexts  $C$  and reductions  $C[P_0] \rightarrow^* P$ ,  
the biprocess  $P$  is uniform,  
then  $P_0$  satisfies observational equivalence.

## Result

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Let  $P_0$  be a closed biprocess.

Suppose that, for all plain evaluation contexts  $C$ , all evaluation contexts  $C'$ , and all reductions  $C[P_0] \rightarrow^* P$ ,

1. the **(Red I/O) rules** apply in the same way on both variants.  
if  $P \equiv C'[\overline{N}\langle M \rangle.Q \mid N'(x).R]$ , then  $\Sigma \vdash \text{fst}(N) = \text{fst}(N')$  if and only if  $\Sigma \vdash \text{snd}(N) = \text{snd}(N')$ ,
2. the **(Red Fun) rules** apply in the same way on both variants.  
if  $P \equiv C'[\text{let } x = D \text{ in } Q \text{ else } R]$ , then there exists  $M_1$  such that  $\text{fst}(D) \Downarrow M_1$  if and only if there exists  $M_2$  such that  $\text{snd}(D) \Downarrow M_2$ .

Then  $P_0$  satisfies observational equivalence.

## Example: Non-deterministic encryption

Non-deterministic public-key encryption is modeled by an equation:

$$dec(enc(x, pk(s), a), s) = x$$

Without knowledge of the decryption key, ciphertexts appear to be unrelated to the plaintexts.

Ciphertexts are indistinguishable from fresh names:

$$(\nu s)(\bar{c}\langle pk(s) \rangle \mid !c'(x).( \nu a)\bar{c}\langle \text{diff}[enc(x, pk(s), a), a] \rangle))$$

satisfies equivalence.

This equivalence can be proved using the previous result, and verified automatically by ProVerif.

## Treatment of equations

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We automatically transform **equations** into **rewrite rules**, much easier to handle (and already handled in ProVerif), e.g., transform  $g^x g^y = g^y g^x$  to  $g^x g^y \rightarrow g^y g^x$ .

We have shown that, for each **trace with equations**, there is a corresponding **trace with rewrite rules**, and conversely.

Then we obtain a result for **proving equivalences** using rewrite rules instead of equations.

(See formal details in the paper.)



## Translation into clauses

As in our previous work, we translate the protocol and the adversary into a set of **Horn clauses**.

The predicates differ in order to translate behaviors of biprocesses instead of processes:

$F ::=$	facts
$\text{att}'(p, p')$	the attacker has $p$ (resp. $p'$ )
$\text{msg}'(p_1, p_2, p'_1, p'_2)$	message $p_2$ is sent on channel $p_1$ (resp. $p'_2$ on $p'_1$ )
$\text{input}'(p, p')$	input on $p$ (resp. $p'$ )
$\text{nounif}(p, p')$	$p$ and $p'$ do not unify modulo $\Sigma$
$\text{bad}$	the property may be false

**Magenta** arguments for the first version of the biprocess, **blue** ones for the second version.

## Example: some generated clauses

The biprocess of the non-deterministic encryption example:

$$(\nu s)(\bar{c}\langle pk(s) \rangle \mid !c'(x).(\nu a)\bar{c}\langle \text{diff}[enc(x, pk(s), a), a] \rangle))$$

yields the clauses:

$$\text{msg}'(c, pk(s), c, pk(s))$$

$$\text{msg}'(c', x, c', x') \rightarrow \text{msg}'(c, enc(x, pk(s), a[i, x]), c, a[i, x'])$$

The first clause corresponds to the output of the public key  $pk(s)$ .

The second clause corresponds to the other output.

## Resolution algorithm

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**Theorem 1** *If bad is not a logical consequence of the clauses, then  $P_0$  satisfies observational equivalence.*

We determine whether bad is a logical consequence of the clauses using a **resolution**-based algorithm.

This algorithm uses domain-specific simplification steps (for predicate nounif in particular, using unification modulo the equational theory of  $\Sigma$ ).

## Applications

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- **Weak secrets:** We can express that a password is protected against off-line guessing attacks by an equivalence, and prove it using our technique (done for 4 versions of EKE).
- **Authenticity:** We can formalize authenticity as an equivalence and prove it (for the Wide-Mouth Frog protocol).
- **JFK:** We can show that the encrypted messages of JFK are equivalent to fresh names, with our technique plus the property that observational equivalence is contextual.

Total runtime: 45 s on a Pentium M 1.8 GHz.

## Conclusion

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Contributions:

- Fully automatic proof of some process equivalences.
- Treatment of cryptographic primitives represented by equations.

Implementation and more information at

<http://www.di.ens.fr/~blanchet/obsequi/>